



JOINT ELECTRICITY REGULATORY COMMISSION

(FOR THE STATE OF GOA & UNION TERRITORIES)

2nd Floor, HSIIDC Office Complex, Vanijya Nikunj Complex, Udyog Vihar,
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16/11/2011 – JERC

09.5.2013

1. The Member Secretary,
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Sub: Renewable Energy Certificates (REC) Accreditation Charges for issue of RECs for Renewal Energy Projects chargeable by State Agency.

Dear Sirs,

After your due consent, you were designated as the State Agency for the Renewable Energy Sources in the area under your jurisdiction as per the Suo-Moto Petition no 23/2010 of this Commission.

The Commission is forwarding you the Fee as per Annexure 1 that is chargeable by your office for accreditation of the Renewable Energy Certificates by any agency that approaches your office for the same, which is being uploaded on the JERC web www.jercuts.gov.in

A draft model procedure on guidelines based on the Model developed by Renewable Energy Agency Puducherry is also attached at Annexure 2. This document is to be developed at your end and is being sent for your convenience.

You are requested to upload the same on your web site and also request the licensee (Electricity Department under your area) for similar action asking for public comments up to 31 May 2013.

You are also requested to offer your comments on these documents and its adoption at your end. The charges will be finalized by the Commission after receipt of Your / Public Comments, if any.

You are also requested to give your respective Email addresses, Contact Persons name and Telephone number (including Mobile phone number)

Regards

Secretary

Copy to all The Electricity Departments under the Jurisdiction of the Commission.

The Secretary Power UT of A&N
The Secretary Power UT of Lakshadweep

The State Agency for Andaman & Nicobar as well as Lakshadweep is still to be proposed by the respective administrations and these are to be fixed in consultation with MNRE. These agencies are to be independent of Electricity Department.

Annexure 1



JOINT ELECTRICITY REGULATORY COMMISSION

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Renewable Energy Certificates (REC) Accreditation Charges for issue of RECs for Renewal Energy Projects chargeable by State Agency.

Whereas, the Renewable Energy Certificate mechanism as envisaged under Central Electricity Regulatory Commission (Terms and Conditions for recognition and issuance of Renewable Energy Certificate for Renewable Energy Generation) Regulations, 2010 notified by CERC, to implement the CERC REC Regulations exercising its powers conferred under sub-section (1) of Section 178 and Section 66 read with clause (y) of sub-section (2) of Section 178 of the Electricity Act, 2003 to facilitate development of market in power from renewable energy sources by issuance of 'Renewable Energy Certificates (REC)'.for Accreditation of a Renewable Energy Generation Project for REC Mechanism'.

Whereas, as per the clause no. 3.4 of **Regulation JERC – 19 /14/2010 on Procurement of Renewable Energy:**

Quote “

3. State Agency

.....
.....
.....

3.4 The Commission may from time to time fix the remuneration and charges payable to the State Agency for discharge of its functions under these regulations to be recovered from the accredited entities and obligated entities.

3.5

“Unquote

Therefore, the accreditation charges are being fixed by the Hon'ble Commission and are Proposed as under:

Sl.	Description	Proposed Charges
1	Accreditation Processing Fee (one Time)	Rs. 5,000
2	Registration Charges (One Time)	
2.1	REC Project up to 100Kwp	Rs. 500
2.2	REC Project above 100 & up to 500Kwp	Rs. 10,000
2.3	REC Project above 500 & up to 1000Kwp	Rs. 20,000
2.4	REC Project above 1000Kwp	Rs. 20,000
3	Annual Charges for Registration	Rs 100/ Kwp subject to max. Rs.10,000 / MWp
4	Registration Fee for Revalidation / Extension of Validity after 5 years	Rs 100/ Kwp subject to max. Rs.15,000 / MWp

Taxes and duties are extra as applicable.

All the Stake holders in the jurisdiction of the Joint Electricity Regulatory Commission are required to offer their comments / suggestions if any, **till 31st May 2013.**

Secretary

Annexure 2

PROCEDURE FOR ACCREDITATION OF RENEWABLE ENERGY GENERATION PROJECT FOR REC MECHANISM BY (STATE AGENCY)

1. OBJECTIVE

1.1. This procedure shall provide guidance to the entities to implement Renewable Energy Certificate mechanism as envisaged under Central Electricity Regulatory Commission (Terms and Conditions for recognition and issuance of Renewable Energy Certificate for Renewable Energy Generation) Regulations, 2010 notified by CERC, (hereinafter referred to as "**the CERC REC Regulations**"). This procedure shall be called '**Guidelines for Accreditation of a Renewable Energy Generation Project for REC Mechanism in Union Territory of**'.

1.2. This procedure is prepared in order to implement the CERC REC Regulations exercising its powers conferred under sub-section (1) of Section 178 and Section 66 read with clause (y) of sub-section (2) of Section 178 of the Electricity Act, 2003 and all other powers enabling it in this behalf, to facilitate development of market in power from renewable energy sources by issuance of '**Renewable Energy Certificates (REC)**'.

1.3. Words and expressions used in these Procedures and not defined herein but defined in the Act, the CERC REC Regulations or any other Regulations issued by the Central Commission shall have the same meaning assigned to them respectively in the Act, the CERC REC Regulations or such other Regulations issued by the Commission or JERC for Goa & UTS

2. APPLICABILITY AND SCOPE

2.1. This procedure shall be applicable to all the generating companies engaged in generation of electricity from renewable energy sources such as small hydro, wind, solar including its integration with combined cycle, biomass, bio fuel cogeneration, urban or municipal waste and such other sources as recognised or approved by Ministry of New and Renewable Energy for their Renewable Energy Power Projects within the Union Territory of subject to fulfilment of eligibility conditions for participating in REC mechanism on or after April 1, 2010 in accordance with the provisions stipulated under the CERC REC Regulations.

2.2. State Agency for Renewable Agency, hereinafter, referred to as, designated by the Joint Electricity Regulatory Commission for UTs and Goa , hereinafter, referred to as JERC , to act as State Agency for accreditation and recommending the renewable energy projects for registration, shall follow this procedure for accreditation of Renewable Energy Generating Company for their Renewable Energy Power Projects subject to fulfilment of eligibility conditions for participating in REC mechanism on or after April 1, 2010 in accordance with conditions outlined under the CERC REC Regulations.

2.3. shall undertake the accreditation of any renewable energy generation project of the Generating Company/Individual/HUF not earlier than six months prior to the proposed date of commissioning of such RE generation project. For existing eligible RE Generation Project not tied up in long term power purchase agreement, shall undertake the accreditation of the RE Generation Project as and when the RE Generating Company/Individual/HUF makes an application for accreditation.

2.4. The permission granted by in the form of Accreditation Certificate to the Generating Company/Individual/HUF for the accredited RE Generation Project shall be valid for a period

of five years from the date of accreditation certificate unless otherwise revoked prior to such validity period in pursuance of conditions for revocation as outlined under this Procedure.

3. STEP-WISE DESCRIPTION OF THE PROCEDURE

The basic procedure for accreditation of the RE generation project shall cover following steps:

3.1. STEP 1: An application for availing accreditation shall be made by the generating company to, as defined under Clause 2(1) (n) of the CERC REC Regulations. The applicant shall apply for Accreditation on the Web Based Application at <https://www.recregistryindia.nic.in> and shall also submit the same information in physical form with requisite fees to within 15 days of making such an application online.

The application for accreditation shall contain

- (i) owners details,
- (ii) Address for Communication indicating postal address and a Valid Email ID. Correspondence sent to the E-Mail ID indicated in the application shall be valid for compliance purpose under this procedure.
- (iii) (operator details (in case the owner and operator are different legal entities)
- (iv) Generating Station details,
- (v) Connectivity details with concerned licensee (STU/DISCOM),
- (vi) metering details,
- (vii) Statutory Clearance details,
- (viii) Details of power off-take arrangement as per the CERC REC Regulations and
- (ix) Any other relevant information as per the enclosed format (**FORMAT- 1.1** : Application for Accreditation of RE Generation Project). In case, the Applicant has multiple RE generation projects then, separate Applications shall have to be submitted by the Applicant for each RE generation project. Accreditation of each RE generation project shall be carried out separately. **The minimum capacity of each RE generation project shall be 3 kW and maximum capacity per project application shall be as per requirements of Connectivity standards for Grid Connectivity at particular injection voltage/grid interface point as specified by Electricity Department,** The Application made for accreditation of RE generation project shall be accompanied by a non-refundable processing fee and accreditation charges (one time and annual, if any) as determined by the JERC UTS from time to time.

3.2. STEP 2: shall assign a unique acknowledgement number to the Applicant for each application for accreditation of its RE generation project, for any future correspondence.

3.3. STEP 3: After receipt of application for accreditation, shall conduct a preliminary scrutiny to ensure Application Form is complete in all respect along with necessary documents and applicable processing fees and accreditation charges. shall undertake preliminary scrutiny of the Application within 2 working days from date of receipt of such Application.

3.4. STEP 4: After conducting the preliminary scrutiny, shall intimate in writing to the Applicant for submission of any further information, if necessary, to further consider the application for accreditation or reject application. The reasons for rejecting the application for accreditation shall be recorded and intimated to Applicant in writing within 2 working days from date of receipt of the application by

3.5. STEP 5: While considering any application for accreditation of RE generation project, shall verify and ascertain availability of following information:

- a) Confirmation of 'Availability of Land' in possession for setting up generating station
- b) Power Evacuation Arrangement permission letter from the host State Transmission Utility or the concerned Distribution Licensee, as the case may be
- c) Confirmation of Metering Arrangement and Metering Location
- d) Date of Commissioning of RE project for existing eligible RE Project or proposed date of commissioning for new RE for accreditation
- e) Copy of Off-take/Power Purchase Agreement or Undertaking
- f) Proposed Model and Make for critical equipment (say, WTG, STG, PV Module) for the RE Project. Confirmation of compliance of critical equipment with relevant applicable IEC or CEA Standards
- g) Undertaking for compliance with the usage of fossil fuel criteria as specified by MNRE
- h) Details of application processing fees/accreditation charges

3.6. STEP 6:, after duly inspecting/verifying conditions elaborated in Step 5, shall grant 'Certificate for Accreditation' to the concerned Applicant for the proposed RE Generation project and assign a specific project code number to that effect which shall be used by the such Applicant (Eligible Entities) for all future correspondence with The process of accreditation shall normally be completed within 30 days from date of receipt of complete information by In case accreditation is not granted at this stage, the reasons for rejecting the application for accreditation shall be recorded and intimated to Applicant in writing.

3.7. STEP 7: If accreditation is granted, shall also intimate accreditation of particular RE generation project to the following entities,

- a. The Central Agency, as defined under Clause 2(1) (b)
- b. The Joint Electricity Regulatory Commission for Goa and UTs
- c. The System Control Centre , Electricity Department
- d. Electricity Department

4. FUNCTIONS, ROLES AND RESPONSIBILITIES OF ENTITIES INVOLVED

The roles and responsibilities of the entities involved is elaborated in the following paragraphs,

4.1. Generating Company/Individual/HUF (Eligible Entity)

a. The Generating Company/Individuals/HUF shall apply for the accreditation of its RE generation project facility as per **FORMAT-1.1: "Application for Accreditation of RE Generation Project for REC Mechanism"** enclosed to this Procedure. Online Application is to be made at <https://www.recregistryindia.nic.in> and a Hard Copy of the application with necessary documents and requisite fees shall be sent to

b. The Generating Company/Individuals/HUF shall coordinate with and shall be responsible for submitting the information in a timely manner so as to enable to comply with requirements outlined under this Procedure for Accreditation.

c. Pay one time non-refundable application processing fees and annual charges towards Accreditation as determined by JERC from time to time.

d. Submit a declaration or undertaking that

- i. It does not have and shall not have any power purchase agreement for the capacity related to such generation to sell electricity at preferential tariff determined by JERC. and,
- ii. It shall immediately notify if any power purchase agreement for sale of electricity at preferential tariff, as determined by JERC , is executed.
- iii. It shall sell the electricity generated either to the distribution licensee of the area in which the eligible entity is located at a price not exceeding the pooled cost of power purchase of such distribution licensee or to any other licensee or to an open access consumer at a mutually agreed price, or through power exchange.

e. Install special energy meters for the purpose of metering of energy injection into the electricity grid.

f. Comply with the directions, duties and obligations specified by the Central Electricity Regulatory Commission and JERC in this regard, from time to time.

g. Apply for revalidation or extension of validity of existing accreditation at least three months in advance prior to expiry of existing Accreditation.

4.2. State Agency for Renewable Agency(.....)

a. Comply with the directions issued by JERC from time to time.

b. Adopt the procedure contained herein for Generator Accreditation.

c. To scrutinise and verify the documents and all other steps as may be necessary prior to the Accreditation of RE generation project. Prior to accreditation, the activities to be undertaken by shall include but not limited to following:

I. Verification of Application

- i. Review of information submitted in the application by the generating company for its completeness and accuracy
- ii. Independent verification of the information submitted by the Applicant
- iii. Review of information available with regards to the similar renewable energy projects registered with the Central Agency, if necessary.

d. Submit recommendation for registration or reasons of rejection

e. Extension of validity of existing accreditation upon following due process.

f. Revocation of accreditation, upon following due process for revocation.

g. Intimate accreditation of Generating Company/Individuals/HUF to the following,

- a. The Central Agency, as defined under Clause 2(1) (b)
- b. The Joint Electricity Regulatory Commission for Goa and UTs
- c. The System Control Centre , Electricity Department
- d. Electricity Department

5. INFORMATION REQUIREMENT – APPLICATION FORM & CONTENT

For the purpose of accreditation of its RE Generation project, the generating company shall furnish information to in the format as elaborated in **FORM-1.1** comprising following details.

- a) Section-A: Owner Details
- b) Section-B: Operator Details
- c) Section-C: RE Generating Station details
- d) Section-D: Connectivity Details with Concerned Licensee (STU/DISCOM)
- e) Section-E: Metering Details
- f) Section-F: Statutory Clearance Details
- g) Section-G: General Details
- h) Section-H : Details Charges
- i) Section-I: Declaration

6. REPORT: FORMAT FOR ACCREDITATION CERTIFICATE

..... shall grant '**Certificate of Accreditation**' to the Applicant fulfilling all requirements of accreditation in the format as elaborated in the **FORMAT-1.2**.

7. TIMELINES

7.1. shall grant/undertake accreditation of those generating facilities which are proposed to be commissioned within period not exceeding 6 months from the date of application for accreditation made by the applicant.

7.2. Once received, shall inform the applicant with regard to the incompleteness of the application within 2 working days.

7.3. The Applicant shall furnish the details as requested by within 7 working days so as to enable to undertake the accreditation of the generating facility.

7.4. In case the applicant fails to furnish the information requested by within the stipulated time frame, shall abandon the accreditation and retain the processing fees.

7.5. The process of accreditation by shall normally be completed within 30 working days from the date of receipt of complete information by

7.6. The Eligible Entity shall apply for revalidation or extension of validity of existing accreditation at least three months in advance prior to expiry of existing Accreditation.

8. FEES AND CHARGES

The fees and charges towards processing of application and undertaking accreditation shall be as mentioned below,

1. One time Application Processing Fees: Rs.5000/- per application shall be payable at the time of submitting application for accreditation to
2. One time Accreditation Charges shall be payable to upon grant of accreditation as below:
 - i. For REC Project upto 100 KWp : Rs 500 per Application
 - ii. For REC Project above 100KWp upto 500KWp : Rs 10000 Per Application
 - iii. For REC Project above 500 upto 1000 KWp : Rs 20000 per Application
 - iv. For REC Project above 1000 KWp : Rs 20000 per MWp per application
(for fraction of less than 1000 KWp will be charged at rates in respective slabs)
3. Annual Charges for Accreditation: Rs. 100 per KWp subject to maximum of Rs 10000 per MWp per application per annum per application shall be payable by April 10, for each fiscal year .
4. Accreditation Charges for Revalidation/extension of validity Rs.100 per KWp subject to maximum of Rs 15000 per Application shall be payable at the time of revalidation/extension of validity of existing accreditation at the end of five years.
5. All fees and charges shall be payable by way of Demand Draft drawn in favour of payable at

9. EVENT OF DEFAULT AND CONSEQUENCES THEREOF

Event of Default Following events shall constitute event of default by Eligible Entity and shall lead to revocation of Accreditation granted by:

9.1. Non-payment or delay in payment of annual Accreditation charges by Eligible Entity beyond 15 days from due date of payment of such annual accreditation charges shall constitute event of default by that Eligible Entity.

9.2. Non-compliance of any of the terms/conditions/rules outlined under this Procedure by Eligible Entity.

9.3. Non-compliance of any of the directives issued by, so long as such directives are not inconsistent with any of the provisions of CERC REC Regulations or RPO/REC Regulations and in accordance with the Functions and within the Powers outlined for, shall constitute an event of default by that Eligible Entity.

Consequences for Event of default:

9.4. Occurrence of any of the event of default by Eligible Entity shall result into revocation of Accreditation granted to such Eligible Entity for that RE Generation Project.

9.5. However, shall provide adequate notice and chance to Eligible Entity to present its case before serving the Notice for Termination of Accreditation, which shall not be for period lower than 14 days.

9.6. In case Eligible Entity fails to address/rectify the default expressed by in the Notice within stipulated time period of 14 days, shall proceed with revocation of Accreditation granted to such Eligible Entity.

9.7. Upon revocation of accreditation, shall inform the same to Central Agency, System Control Centre and Electricity Department within period of 7 days from date of such revocation.

10. POWERS TO REMOVE DIFFICULTIES

10.1. If any difficulty arises in giving effect to any provision of these Procedures or interpretation of the Procedures, the JERC may make such provisions or propose amendments, not inconsistent with the provisions of CERC/ REC Regulations, upon seeking due approval from the CERC , as may appear to be necessary for removing the difficulty.

FORMAT- 1.1

APPLICATION FOR ACCREDITATION OF RE GENERATION PROJECT

Section A: Owner Details

Name of the Applicant

Type of the Applicant

(Individual/HUF/Partnership/Ltd Co. /Pvt. Ltd. Co. /Public Ltd. Co.)

Postal Address of the Applicant

Town/Suburb

State

Postal Code

Primary Contact Name (1)

(Name of Authorised Signatory)

Position

Phone

Fax

Mobile

E-mail Address

(E-Mail shall be current and a valid Email and communication to the E-Mail ID mentioned here shall constitute communication under this procedure)

Appointed Person's Detail

(In case Owner is Appointed Person)

Are Owner and Operator of the Generating Facility the same legal entity?

(If yes, go to Section C else complete Section B)

1 If the owner is proposed act as the 'Appointed Person' for the Generating facility, ensure that this is identical to the name in which Renewable Energy Certificates to be issued by the Central Agency.

Section B: Operator Details

Name of the Applicant

Type of the Applicant

(Individual/HUF/Partnership/Ltd Co. /Pvt. Ltd. Co. /Public Ltd. Co.)

Postal Address of the Applicant

Town/Suburb

State

Postal Code

Primary Contact Name (2)

(Name of Authorised Signatory)

Position

Phone

Fax

Mobile

E-mail Address

(E-Mail shall be current and a valid Email ID and communication to the E-Mail ID mentioned here shall constitute communication under this procedure)

Appointed Person's Detail

(In case Operator is Appointed Person)

2 If the operator is proposed to act as the 'Appointed Person' for the Generating facility, ensure that this is identical to the name in which Renewable Energy Certificates to be issued by the Central Agency.

Section C: RE Generating Station Details

Name of Power Station

Physical Address of the RE Generating Station

Town/Suburb

State

Postal Code

Category of Land(3)

(Govt. Land/Private Land)

Land Lease (4)

(Leased/Owned)

Total Installed Capacity of RE Generating Station (in kW)

Total Number of Units Which Renewable Energy Resources is/are utilised by the RE Generating Station

Number of units for which Accreditation is sought Unit Number,

Corresponding Capacity and Date of Commissioning
(dd-mm-yyyy)

Planned usage of Fossil Fuel(5)

(Provide Detail Description of type and amount of fossil fuel usage planned)

Connectivity Diagram⁶ (Diagram Enclosed) (6)

Proposed Gross Generation by units proposed for Accreditation
(kWh)

3 Enclose Necessary Documents

4 Enclose Certified Copy of the Registered Lease/Sale Deed

5 Demonstrate Compliance with the Eligibility Conditions as prescribed by MNRE/Competent Authority

6 Enclose Connectivity Diagram/Single Line Diagram of the Station clearly Identifying the units to be considered for Accreditation and showing the Metering Arrangement

Section D: Connectivity Details with Concerned Licensee (STU/DISCOM)

Name of the Concerned Licensee under whose jurisdiction the entity is located

Address of the Concerned Licensee

State

Postal Code

Interconnection of Power Station with the network (7)

S No. Line From _____ to _____ Voltage Level:

7 Enclose details of Power Evacuation Arrangement/ Licensee Permission Letter to Interconnect with the network

Section E: Metering Details

Whether metering complied with the standards issued by Central Electricity Authority / State Grid Code. (8)

Are the following metered separately?

1) Gross Energy Generated

2) Auxiliary Consumption

3) Net Energy Injection to Grid

Describe the Meter Details⁸

Describe the Metering Points (9)

What is the voltage at which electricity is generated and how the voltage is stepped up or down before use

8 *Enclose Meter Type, Manufacturer, Model, Serial Number, Class and Accuracy etc.*

9 *Describe the location of meters with single line diagram*

Section F: Statutory Clearance Details

Statutory Clearances obtained by the RE Generating Station

Including Environmental Clearances (10)

10 *Attach the Copy of Clearances/Approvals*

Section G: General Details

Nature and Activities of the Applicant

Furnish list of Directors/Partners of the Organisation

Income Tax PAN Details of the Applicant

Details of the Earlier RE Project setup by the Applicant

Basic Documents of the Company(11)

Net Worth of the Company

(Enclose Certified true copies of financial statements)

Estimated cost of the proposed project (in Rs Lakh)

11 *Enclose Memorandum of Association & Articles of Association of a Company/ Certificate of Incorporation, in case of Pvt. Ltd. Company/Certificate of Incorporation & Certificate of Business Commencement, in case of Public Ltd. Company/ Appointment of Statutory Auditor*

Section H: Details of Fee& Charges

Name of the Bank:

Bank Draft Number/ECS Reference Number:

Date of Transaction:

Details of Amount Paid:

Processing Fees: Rs.

Section I: Declaration

Declaration to be Signed by the M.D./CEO/Authorised Signatory/Individual of the Applicant

I/We certify that all information furnished above is/are true to the best of my/our knowledge and belief.

I/We shall abide by such terms and conditions that the Central Electricity Regulatory Commission or Joint Electricity Regulatory Commission for UTs and Goa may impose to participate in the Renewable Energy Certificate Mechanism from time to time.

I/We hereby also confirm that **'no' Power Purchase Agreement** has been or shall be signed to sell electricity generated from the proposed renewable energy generating station at **preferential tariff determined** by the Joint Electricity Regulatory Commission .

I/We hereby also confirm that **the electricity generated from the proposed renewable energy generating station** shall be **sold either to the Electricity Department** **at a price not exceeding the pooled cost of power purchase** as determined by JERC or to an open access consumer at a mutually agreed price, or through power exchange or shall be used for self consumption as Captive generator.

Signature of the applicant
(Seal of the Company)

Date:

Place:

FORMAT - 1.2

CERTIFICATE OF ACCREDITATION

This is to certify that _____ (*Name of the Applicant*) having/proposing to install it's RE generating station at _____ (*Proposed Location, Physical Address*) with Capacity ___MW, utilising _____ (*Name of the RE Resource*) has been granted Accreditation for its said RE Generating Station with effect from_____

This accreditation is granted subject to fulfilling the Rules. Regulations and Procedures specified by the Central Agency from time to time.

The validity of this certificate is mandated through ongoing surveillance.

Issue Date

Expiration Date

Certificate Number

Authorised Signatory of the Accreditation Agency
Renewable Energy Agency